



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bin Liu

Serial No.: 10/532,649

Filed: April 25, 2005

For: CATIONIC WATER-SOLUBLE

CONJUGATED POLYMERS AND THEIR

PRECURSORS

Confirmation No.: Unknown

Examiner: Unknown

Group Art Unit: Unknown

Attorney Docket No.: 3026-6977US

(R5/1145 PK/rs)

Express Mail Mailing Label No.:

EL 995992348 US

Date of Deposit with USPS:

October 12, 2005

Person making Deposit:

Steve Wong

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Pursuant to M.P.E.P. 609 III A(2), copies of U.S. patents are <u>not</u> being provided. Copies of foreign patent documents and non-patent literature are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission

that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent No.	Publication Date	<u>Patentee</u>
US - 3,287,321	11/22/1966	Temin
US - 4,880,508	11/14/1989	Aldissi
US - 4,929,389	05/29/1990	Aldissi
US - 5,708,130	01/13/1998	Woo et al.
US - 5,712,361	01/27/1998	Stern et al.
US - 5,777,070	07/07/1998	Inbasekaran et al.
US - 6,255,449 B1	07/03/2001	Woo et al.
US - 6,541,602 B1	04/01/2003	Spreitzer et al.
US - 6,653,438 B1	11/25/2003	Spreitzer et al.
US - 2004/0135131 A1	07/15/2004	Treacher et al.

Foreign Patent Documents

Document No.	Publication Date	<u>Patentee</u>
DE 198 46 767 A1	04/20/2000	Aventis Research
WO 01/62822 A1	08/30/2001	Cambridge Display Tech.
WO 01/77203 A2	10/18/2001	Uniax Corporation
WO 02/066537 A1	08/29/2002	Cambridge Display Tech.
WO 02/26859 A1	04/04/2002	Cambridge Display Tech.
WO 03/035713 A1	05/01/2003	Cambridge Display Tech.

Other Documents

BALANDA, Peter B., et al., Water-Soluble and Blue Luminescent Cationic Polyelectrolytes Based on Poly (p-phenylene), Macromolecules, Vol. 32, No. 12, pp. 3970-3978, 1999.

BRODOWSKI, Gisela, et al., Communications to the Editor, Synthesis and Intrinsic Viscosity in Salt-Free Solution of a Stiff-Chain Cationic Poly(p-phenylene) Polyelectrolyte, Macromolecules, Vol. 29, No. 21, pp. 6962-6965, 1996.

CHILD, Andrew D., et al., Water-Soluble Rigid-Rod Polyelectrolytes: A New Self-Doped, Electroactive Sufonatoalkoxy-Substituted Poly(p-phenylene), Macromolecules, Vol. 27, No. 7, pp. 1975-1977, 1994.

KIM, Seungho, et al., Water Soluble Photo- and Electroluminescent Alkoxy-Sulfonated Poly(p-phenylenes) Synthesized via Palladium Catalysis, Macromolecules, Vol. 31, No. 4, pp. 964-974, 1998.

KIM. Young H., et al., Water-Soluble Hyperbranched Polyphenylene: "A Unimolecular Micelle"?, J. Am. Chem. Soc., Vol. 112, pp. 4592-4593, 1990.

KIM, Young H., et al., Hyperbranched Polyphenylenes, Macromolecules, Vol. 25. No. 21, pp. 5561-5572, Oct. 12, 1992.

MIYAURA, Norio, et al., Palladium-Catalyzed Cross-Coupling Reactions of Organoboron Compounds, Chem Rev., Vol. 95, No. 7, pp. 2457-2483, 1995.

PATIL, A.O., et al., Water-Soluble Conducting Polymers, J. Am. Chem Soc., Vol. 109, pp. 1858-1859, 1987.

PICKUP, Peter G., Poly-(3-Methylpyrrole-4-Carboxylic Acid): an Electronically Conducting Ion-Exchange Polymer, J. Electroanal. Chem., Vol. 225, pp. 273-280, 1987.

RAU, I.U., et al., Towards rigid-rod polyelectrolytes via well-defined precursor poly(paraphenylene)s substituted by 6-iodohexyl side chains, Acta Polymer, Vol. 45, pp. 3-13, 1994.

RULKENS, Rudy, et al., Rigid-rod polyelectrolytes: synthesis of sulfonated poly(p-phenylene)s, Macromol. Rapid Commun., Vol. 15, pp. 669-676, 1994.

SHI, Songqing, et al., Synthesis and Characterization of a Water-Soluble Poly(p-phenylenevinylene) Derivative, Macromolecules, Vol. 23, No. 8, pp. 2119-2124, 1990.

WALLOW, Thomas I., et al., Communications to the Editor, In Aqua Synthesis of Water-Soluble Poly(p-phenylene) Derivatives, J. Am. Chem Soc., Vol. 113, pp. 7411-7412, 1991.

Applicant offers to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits, and therefore no fee is due.

Respectfully submitted,

Joseph A. Walkowski Registration No. 28,765 Attorney for Applicant(s)

TRASKBRITT P.O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: October 12, 2005

JAW/ps:slm

Enclosures: Form PTO/SB/08

Copy of non-U.S. Patent documents cited

Document in ProLaw

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known Application Number 10/532,649 INFORMATION DISCLOSURE April 25, 2005 Filing Date STATEMENT BY APPLICANT Bin Liu First Named Inventor Unknown Group Art Unit (use as many sheets as necessary) Unknown **Examiner Name** Sheet Attorney Docket Number 3026-6977US (R5/1145 PK/rs)

U.S. PATENT DOCUMENTS Name of Patentee or Applicant of						
	Cite No. ¹	Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-3,287,321	11/22/1966	Temin		
		US- 4,880,508	11/14/1989	Aldissi		
		US- 4,929,389	05/29/1990	Aldissi		
		US- 5,708,130	01/13/1998	Woo et al.		
		US- 5,712,361	01/27/1998	Stern et al.		
		US- 5,777,070	07/07/1998	Inbasekaran et al.	9	
		US- 6,255,449 B1	07/03/2001	Woo et al.		
	1	US- 6,541,602 B1	04/01/2003	Spreitzer et al.		
	1	US- 6,653,438 B1	11/25/2003	Spreitzer et al.		
	1	US- 2004/0135131 A1	07/15/2004	Treacher et al.		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
	1	US-				
•		US-			(i)	
	1	US-				

 Cite No. ¹	Foreign Patent Document	·	- "	Pages, Columns,	
	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	DE 198 46 767 A1	04/20/2000	Aventis Research		X
	WO 01/62822 A1	08/30/2001	Cambridge Display Tech.		
	WO 01/77203 A2	10/18/2001	Uniax Corporation		
	WO 02/066537 A1	08/29/2002	Cambridge Display Tech.		
	WO 02/26859 A1	04/04/2002	Cambridge Display Tech.		
	WO 03/035713 A1	05/01/2003	Cambridge Display Tech.		
	WO 03/035/13 A1	05/01/2003	Cambridge Display Tech.		1

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gog or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B(10-03)

Approved for use through 7/31/2006. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Application Number 10/532,649

Filing Date April 25, 2005

First Named Inventor Bin Liu

Group Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number 3026-6977US (R5/1145 PK/rs)

	(use as many she	ets as i	necessary)	Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	3026-6977US (R5/1145 PK/rs)
				*	•

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	BALANDA, Peter B., et al., Water-Soluble and Blue Luminescent Cationic Polyelectrolytes Based on Poly (p-phenylene), Macromolecules, Vol. 32, No. 12, pp. 3970-3978, 1999.					
		BRODOWSKI, Gisela, et al., Communications to the Editor, Synthesis and Intrinsic Viscosity in Salt-Free Solution of a Stiff-Chain Cationic Poly(p-phenylene) Polyelectrolyte, Macromolecules, Vol. 29, No. 21, pp. 6962-6965, 1996.				
		CHILD, Andrew D., et al., Water-Soluble Rigid-Rod Polyelectrolytes: A New Self-Doped, Electroactive Sufonatoalkoxy-Substituted Poly(p-phenylene), Macromolecules, Vol. 27, No. 7, pp. 1975-1977, 1994:	-			
		KIM, Seungho, et al., Water Soluble Photo- and Electroluminescent Alkoxy-Sulfonated Poly(p-phenylenes) Synthesized via Palladium Catalysis, Macromolecules, Vol. 31, No. 4, pp. 964-974, 1998.				
		KIM. Young H., et al., Water-Soluble Hyperbranched Polyphenylene: "A Unimolecular Micelle"?, J. Am. Chem. Soc., Vol. 112, pp. 4592-4593, 1990.				
		KIM, Young H., et al., Hyperbranched Polyphenylenes, Macromolecules, Vol. 25. No. 21, pp. 5561-5572, Oct. 12, 1992.				
		MIYAURA, Norio, et al., Palladium-Catalyzed Cross-Coupling Reactions of Organoboron Compounds, Chem Rev., Vol. 95, No. 7, pp. 2457-2483, 1995.				
		PATIL, A.O., et al., Water-Soluble Conducting Polymers, J. Am. Chem Soc., Vol. 109, pp. 1858-1859, 1987.				
		PICKUP, Peter G., Poly-(3-Methylpyrrole-4-Carboxylic Acid): an Electronically Conducting Ion-Exchange Polymer, J. Electroanal. Chem., Vol. 225, pp. 273-280, 1987.				
		RAU, 1.U., et al., Towards rigid-rod polyelectrolytes via well-defined precursor poly(para-phenylene)s substituted by 6-iodohexyl side chains, Acta Polymer, Vol. 45, pp. 3-13, 1994.				
	:	RULKENS, Rudy, et al., Rigid-rod polyelectrolytes: synthesis of sulfonated poly(p-phenylene)s, Macromol. Rapid Commun., Vol. 15, pp. 669-676, 1994.				
		SHI, Songqing, et al., Synthesis and Characterization of a Water-Soluble Poly(p-phenylenevinylene) Derivative, Macromolecules, Vol. 23, No. 8, pp. 2119-2124, 1990.				
		WALLOW, Thomas I., et al., Communications to the Editor, In Aqua Synthesis of Water-Soluble Poly(p-phenylene) Derivatives, J. Am. Chem Soc., Vol. 113, pp. 7411-7412, 1991.				
Examiner Signature		Date Considered				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.